

Code	TVS
Company name	Thien Viet Securities Joint Stock Company
Date	07/05/2017
Subject	Record date for 2016 dividend & stock issuance

Content:

On July 04, 2017, the Hochiminh Stock Exchange issued Announcement No.795/TB-SGDHCM about the record date of Thien Viet Securities Joint Stock Company as follows:

- Ex-right date: July 14, 2017
- Record date: July 17, 2017

1. Reason & Purpose:

- To pay cash dividend for 2016.
- To pay stock dividend for 2016.
- Stock issuance to raise capital from the owner equity.

2. Content:

a. To pay cash dividend for 2016:

- Exercise ratio: 6%/par value (600 dongs/share)
- Payment date: July 28, 2017
- Place of payment:
 - + Shareholders whose shares have been deposited: at the securities firms where the shares have been deposited.
 - + Shareholders whose shares have not been deposited: at Thien Viet Securities Joint Stock Company.

b. To pay stock dividend for 2016:

- Exercise ratio: 7% , equivalent to 100:7 (Shareholders are entitled to have 07 new shares for every 100 shares owned)
- Expected issue volume: 3,455,113 shares
- Plan to deal with fractional shares: The distributed shares will be rounded down, the fractional shares will be cancelled.
- For example: At the record date, shareholder A owned 117 shares. With 7% performing ratio, the shareholder A will receive: $117 \times 7\% = 8.19$ shares. According to rounding policy, the shareholder A receives 08 new shares. The fractional shares of 0.19 will be cancelled.
- Place of payment:
 - + Shareholders whose shares have been deposited: at the securities firms where the shares have been deposited.

+ Shareholders whose shares have not been deposited: at Thien Viet Securities Joint Stock Company.

c. Stock issuance to raise capital from the owner equity:

- Exercise ratio: 2% , equivalent to 100:2 (Shareholders are entitled to have 02 new shares for every 100 shares owned)
- Expected issue volume: 987,175 shares
- Plan to deal with fractional shares: The distributed shares will be rounded down, the fractional shares will be cancelled.
- For example: At the record date, shareholder A owned 117 shares. With 2% performing ratio, the shareholder A will receive: $117 * 2\% = 2.34$ shares. According to rounding policy, the shareholder A receives 02 new shares. The fractional shares of 0.34 will be cancelled.
- Place of payment:
 - + Shareholders whose shares have been deposited: at the securities firms where the shares have been deposited.
 - + Shareholders whose shares have not been deposited: at Thien Viet Securities Joint Stock Company.